ALEXANDER FORBES FINANCIAL PLANNING CONSULTANTS (PROPRIETARY) LIMITED
Reg No. 1995/012764/07 | **FAIS Licence No.** 31753

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LETTER OF AUTHORITY & INDEMNITY

The following information is required for each client under current FAIS legislation.

CLIENT DETAILS		SPOUSE/PARTNER DETAILS	
Title: _____	Surname: _____	Title: _____	Surname: _____
Initials: _____	Full Name(s): _____	Initials: _____	Full Name(s): _____
ID Number:		ID Number	
Cell Number	_____	Cell Number	_____

I/We acknowledge the following:

Sound and proper financial advice can only be provided with full disclosure of relevant information relating to appropriate personal, including private, information for the purposes of determining and advising on my/our financial situation and financial product experience and objectives, in the process of acquiring, servicing or maintaining any financial products, including but not limited to any information relating to or interest in any long-term insurance, unit trust or any other financial products or services, with any long-term insurer, unit trust manager or other financial institution;

My/Our interests shall be best served if that information is made available to authorised financial service providers with a legitimate interest in receiving such information for those purposes. I/We accordingly confirm, for the purposes of providing the said sound and proper financial advice to me/us, that full permission and authority is granted to:

Alexander Forbes Financial Planning Consultants (Pty) LTD (hereby also referred to as AF FPC), which includes the Financial Consultant, Financial Planner and Administrator/s handling my/our portfolio/investments to obtain any and all such information via The Financial Services Exchange (Pty) Ltd, trading as Astute, or any other authorised financial services provider providing a mechanism for the transmission of such information.

I/We herewith give consent for the long-term insurer, short term insurer, unit trust manager, Administrative FSP, retirement fund or other financial institution possessing such Information to release such information to AF FPC electronically, by post, fax or telephonically.

Either party may terminate this agreement at any time by giving twenty-four (24) hours written notice to the other party.

I/We hereby instruct all parties described herein to provide the information as requested to AF FPC, notwithstanding the date upon which this letter of authority has been signed. This letter of authority is valid for as long as I/we are the client of AF FPC, alternatively, this consent shall remain for a period of _____, whichever is the earlier, from the date of my/our signature, unless written notice of cancellation is given to Astute on 24 hours notice.

By your signature hereunder you

- agree that AF FPC will collect, store process and use the information provided for the purposes of providing financial planning advice to you including advice on financial products and for related purposes.
- You consent to AF FPC passing the personal information collected to subsidiaries, holding companies and associate companies within the Alexander Group of Companies (Alexander Forbes)who are contracted to provide administration services or investment services to AF FPC
- You consent to AF FPC passing the personal information collected to third parties contracted to AF FPC who may perform services for AFFPC for the purposes of performing services to you.

AF FPC will take all reasonable precautions to protect the confidentiality of information and to prevent disclosure of any information to any unauthorised third party.

Company/Long/Short term Assurer	Investment / Life policy / Unit Trust

Indemnity

I/We acknowledge that in order for AF FPC to provide me/us with appropriate and holistic financial advice, AF FPC must be informed of appropriate and available information about my/our financial situation and my/our financial products. If I /we fail to provide AF FPC with sufficient information about my/our financial situation or access to information about my/our financial products, I/we acknowledge that AF FPC will only be able to provide me/us with advice based on the information which I/we make available.

Alexander Forbes will not be held liable for any loss arising from incorrect or insufficient information given to AF FPC by you or any third party on your behalf. Alexander Forbes is hereby indemnified from and against all and any loss, damages, costs and expenses that may be sustained or incurred directly or indirectly as a result of any error or omission resulting from any incorrect or inadequate information provided by you or by third parties authorised by you.

_____ Client Signature

_____ Date

_____ Spouse's Signature

_____ Date

Financial Advisor: _____

E-mail:_____ Tel (W):_____